

“Naked” Hydrogencarbonate Ion as a Bifunctional Catalyst toward Amide Substrates.¹⁾ Nucleophilic Ion Pairs. 9

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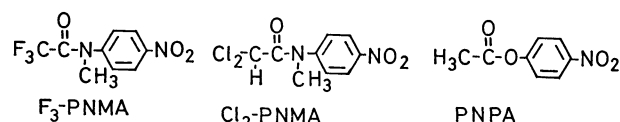
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The cleavage of amide substrates (*p*-nitro-*N*-methyltrifluoroacetanilide and *p*-nitro-*N*-methylchloroacetanilide) with anionic nucleophiles was studied at 30 °C in aprotic (acetonitrile and *N,N*-dimethylformamide) and protic (ethanol) media. Hydrogencarbonate ion acted as catalyst much more efficiently in the aprotic solvents (about 10³ fold) than expected from its aqueous *pK_a*, but in ethanol, its reactivity was close to those of simple nucleophiles (*p*-cyanophenolate anion and *N*-hydroxysuccinimide anion) that possess analogous *pK_a* values in water. The reaction rate in the aprotic solvents was sensitive to small amounts of water. The kinetic isotope effect showed that proton transfer was involved (at least partially) in the rate-determining step. Thus, hydrogencarbonate ion behaves in aprotic media as a nucleophilic-general acid catalyst for the amide cleavage. The biochemical implication with regard to the action of biotin was discussed.

The catalytic hydrolysis of amide substrates has been of special concern as model systems of hydrolytic enzymes. Amide groups are generally very stable toward nucleophiles, so that investigation has been limited to the alkaline hydrolysis of amide substrates containing strongly electron-attracting groups.^{2–5)} In the previous publications of this series, we reported that the cleavage of amide linkages which was virtually impossible in aqueous systems did take place in very dry aprotic solvents with tetraalkylammonium hydroxamate ion pairs.^{6,7)} The rate augmentation observed reached 10⁸–10⁹ fold in comparison with that in the aqueous system. We proposed, on the basis of several pieces of evidence, that the amide cleavage is achieved due to (i) enhanced nucleophilicity of the hydroxamate anion (or probably oxide anions in general: RO[–]) which is desolvated in aprotic solvents^{8,9)} and (ii) efficient proton transfer from poorly solvated water molecules to the tetrahedral intermediate.^{6,7)} Particularly, the second factor is characteristic of the amide cleavage, since it requires proton sources to avoid the formation of energetically-unfavorable amine anions.¹⁰⁾ Obviously, these are op-

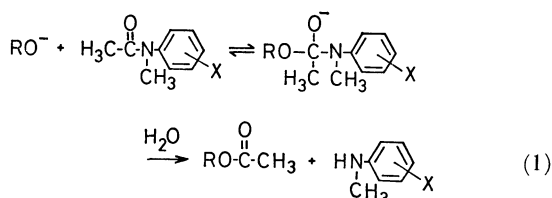
However, they cannot be applied directly to the amide cleavage, because their nucleophilic reactivities are not sufficiently large. Therefore, we adopted potassium hydrogencarbonate (HCO₃[–]K⁺) as a bifunctional catalyst which has an oxide anion group (*pK_a*=6.37 in H₂O)¹²⁾ as well as a dissociable OH group. As monofunctional nucleophiles, potassium *p*-cyanophenolate (*p*-CNC₆H₄O[–]K⁺; *pK_a*=7.95 in H₂O)¹²⁾ and potassium salt of *N*-hydroxysuccinimide (SIO[–]K⁺; *pK_a*=6.0 in H₂O)¹³⁾ were employed. These salts were solubilized in desired solvents with 10 times excess perhydrodibenzo-18-crown-6. Substrates employed are recorded below with their abbreviations.



Experimental

Materials. Equimolar amounts of *p*-cyanophenol and potassium hydroxide were mixed in methanol and the solvent was evaporated. The residue was recrystallized from acetonitrile and methanol to give monohydrate of potassium *p*-cyanophenolate. The potassium salt of *N*-hydroxysuccinimide was prepared in the same way. *p*-Nitro-*N*-methyltrifluoroacetanilide (F₃-PNMA) was prepared by Dr. T. Sakamoto in these laboratories from *p*-nitro-*N*-methylaniline and trifluoroacetic anhydride, mp 129–131 °C (lit.¹⁴⁾ 126.5–131 °C). *p*-Nitro-*N*-methylchloroacetanilide (Cl₂-PNMA) was obtained from dichloroacetyl chloride and *p*-nitro-*N*-methylaniline in the presence of triethylamine in benzene. Recrystallization from benzene–hexane gave slightly yellow powders; mp 131–133 °C, Found: C, 41.38; H, 3.10; N, 10.59%. Calcd for C₉H₈N₂O₃Cl₂: C, 41.05; H, 3.07; N, 10.65%. The purification of solvents was described previously.⁷⁾

Kinetics. The potassium salts were dissolved in desired solvents with 10 times excess perhydrodibenzo-18-crown-6 by sonication (1.5 h). All kinetic measurements were carried out at 30±0.1 °C in modified Thunberg cuvettes. The details of the procedure have been described elsewhere.^{8,9)} The progress of the reaction was monitored spectrophotometrically by following the increase in the absorption of *p*-nitro-*N*-methylaniline or that of *p*-nitrophenolate: 401 nm for PNPA and 390 nm for F₃-PNMA and Cl₂-PNMA. Since excess nucleophiles were present in all the cases, pseudo



posing factors: that is, factor (i) arises from enhanced basicity (nucleophilicity) of oxide anions and factor (ii) is related to the enhanced reactivity of water molecules as proton source. Thus, the combination of these two factors in a single reaction medium is not easy.

However, a tautomer which combines within a molecule structural characteristics of acid(s) (proton source) and base(s) (oxide anions) may serve as an efficient catalyst for the amide cleavage. Tautomeric catalysts have been extensively employed as bifunctional catalysts in mutarotation and acyl transfer reactions.¹¹⁾

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first-order behavior was observed. The water content of the reaction medium was evaluated immediately after the reaction, using a coulometric Karl-Fisher apparatus (Hiranuma Aquacounter AQ-1). The water concentration listed is the average of two to three determinations (relative error, less than 10%).

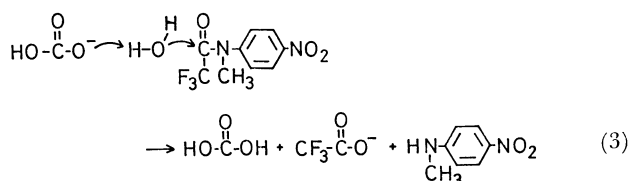
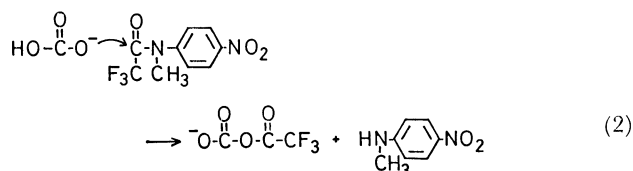
Product Analysis. A mixture of potassium hydrogen-carbonate (3.9×10^{-3} M) ($1 \text{ M} = 1 \text{ mol dm}^{-3}$), perhydrodibenzo-18-crown-6 (3.9×10^{-2} M), $\text{F}_3\text{-PNMA}$ (7.0×10^{-3} M), and aniline (1.0×10^{-2} M) in acetonitrile was refluxed for 3 h, and evaporated to dryness *in vacuo*. The residue taken in methanol was subjected to high-speed liquid chromatography (Hitachi type 635 instrument; solvent, methanol; column, Hitachi gel 3010; wavelength, 254 nm). The following peaks appeared in this order and were identified by comparison with authentic samples: trifluoroacetanilide, aniline, substrate, and *p*-nitro-*N*-methylaniline. The peak height of trifluoroacetanilide was almost the same as that of aniline.

On the other hand, trifluoroacetanilide was not detected in the reaction of aniline and trifluoroacetic acid (7.0×10^{-3} M) under the comparable reaction conditions. Salt formation occurred immediately after mixing as confirmed by UV spectroscopy.

Results

Nucleophilic vs. General Base Attack of Hydrogencarbonate.

In order to assess whether HCO_3^- acts as a nucleophile or as a general base, the semi-quantitative product analysis was performed with a high-speed liquid chromatograph.



If HCO_3^- acts as a general base, it directly produces trifluoroacetate and *p*-nitro-*N*-methylaniline (Eq. 2). On the other hand, the nucleophilic reaction would give a mixed acid anhydride, $-\text{OCOCOCF}_3$ (Eq. 3), which then, decomposes rapidly to trifluoroacetate and hydrogencarbonate. The intermediacy of the mixed anhydride was confirmed by the aniline trap-

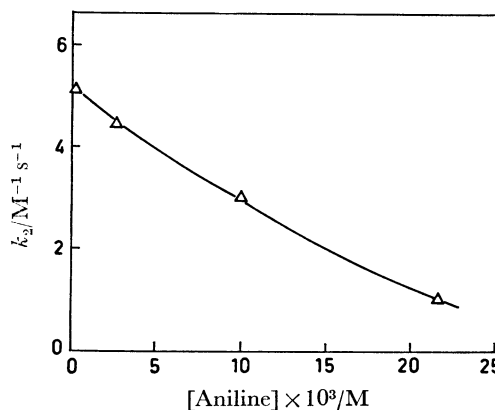
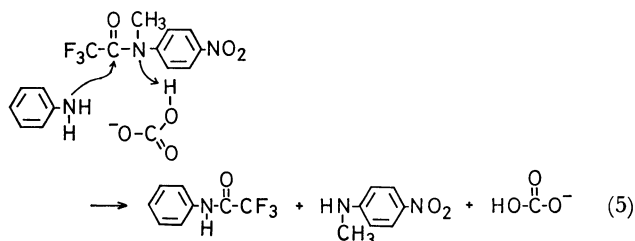
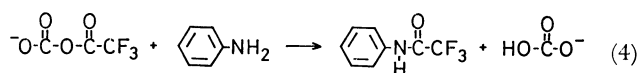


Fig. 1. Second-order rate constants for the reaction of HCO_3^- and $\text{F}_3\text{-PNMA}$ in acetonitrile ($[\text{H}_2\text{O}] = 4 \pm 1 \text{ mM}$), plotted as a function of added aniline. $[\text{HCO}_3^-] = 1.28 \times 10^{-3} \text{ M}$, $[\text{F}_3\text{-PNMA}] = 4.87 \times 10^{-5} \text{ M}$.

ping experiment (Eq. 4) described in Experimental. It was confirmed in separate experiments that the trapping product, trifluoroacetanilide, was not formed directly by the reaction of aniline with $\text{F}_3\text{-PNMA}$ substrate or with trifluoroacetic acid. The possibility that trifluoroacetanilide is formed *via* a mechanism related to the general base mechanism of Eq. 3 (Eq. 5) may be denied by the following argument. Provided that Eq. 5 is the predominant path, added aniline should accelerate the reaction. In Fig. 1, the second-order rate constant (k_2) for the reaction of HCO_3^- and $\text{F}_3\text{-PNMA}$ is plotted as a function of added aniline. Since aniline retards the reaction, Eq. 5 is not likely to occur.

The presence of trifluoroacetanilide in the product of the aniline experiment, together with the rate-retarding effect of aniline, clearly indicates that the amide cleavage proceeds *via* direct attack of hydrogencarbonate ion on the amide substrate.

Absorption Spectra of Nucleophilic Anions. Prior to the kinetic examination, the relative absorbance of nucleophilic anions was measured as a function of water concentration in order to obtain reliable information on prototropic equilibria. *p*-Cyanophenol ($p\text{-CNC}_6\text{H}_4\text{OH}$) in very dry acetonitrile ($[\text{H}_2\text{O}] = 11.7 \text{ mM}$) possesses the absorption maximum at 243 nm with $\epsilon = 18400$, and the spectrum was not affected by added perhydrodibenzo-18-crown-6 (10 times excess). On the other hand, the absorption maximum of $p\text{-CNC}_6\text{H}_4\text{O}^- \text{K}^+$ solubilized in acetonitrile with 10 times excess of perhydrodibenzo-18-crown-6 appeared at 294 nm ($\epsilon = 19800$), which can be clearly differentiated from that of $p\text{-CNC}_6\text{H}_4\text{OH}$. The absorbance decreased only slightly with increasing water concentrations, the relative absorbance at $[\text{H}_2\text{O}] = 999 \text{ mM}$ being 86% of the original value in very dry acetonitrile. At this water concentration a weak shoulder was observed at 243 nm which is ascribable to the absorption of $p\text{-CNC}_6\text{H}_4\text{OH}$. The relative amount of the neutral species calculated with $\epsilon = 18400$ was 12%, which is in good accord with the decrease of $p\text{-CNC}_6\text{H}_4\text{O}^- \text{K}^+$ ($\approx 14\%$) within the experimental error. Therefore, the increase in the water concentration causes a small shift in the prototropic equilib-

TABLE 1. SECOND-ORDER RATE CONSTANTS FOR THE CLEAVAGE OF AMIDE AND ESTER SUBSTRATES^{a)}

Solvent ^{b)}	Nucleophile	$k_2/\text{M}^{-1}\text{s}^{-1}$		
		F ₃ -PNMA	Cl ₂ -PNMA	PNPA
DMF	HCO ₃ ⁻	59	3.7	19
DMF	<i>p</i> -CNC ₆ H ₄ O ⁻	1.3	0.51	26
DMF	SI-O ⁻ d)	1.6	—	ca. 10 ³
Acetonitrile	HCO ₃ ⁻	0.37—3.9 ^{c)}	0.048—0.15 ^{c)}	46
Acetonitrile	<i>p</i> -CNC ₆ H ₄ O ⁻	0.035	0.0033	22
Acetonitrile	SI-O ⁻ d)	0.0030—0.032 ^{c)}	—	400
Ethanol	HCO ₃ ⁻	1.5	0.16	0.38
Ethanol	<i>p</i> -CNC ₆ H ₄ O ⁻	1.1	0.085	0.32

a) [nucleophile] = (0.4—20) × 10⁻⁴ M, [substrate] = (5—20) × 10⁻⁵ M, [perhydrodibenzo-18-crown-6] = 10 × [nucleophile salt]. b) [H₂O]: 6.2—9.2 mM in DMF, 4.9—7.0 mM in acetonitrile, 100 ± 15 mM in ethanol. c) The probable range of k_2 estimated from the non-linear plots. d) Potassium salt of *N*-hydroxysuccinimide.

rium between *p*-CNC₆H₄O-K⁺ and *p*-CNC₆H₄OH.

The absorption spectra of SI-O-K⁺ were somewhat more complicated. SI-O-K⁺ solubilized in very dry acetonitrile ([H₂O] = 14.1 mM) with 10 times perhydrodibenzo-18-crown-6 gave rise to two absorption maxima at 278 nm (ϵ = 2320) and 294 nm (ϵ = 2360). The absorption peak at 278 nm gradually increased upon addition of water and the peak at 294 nm disappeared almost completely at [H₂O] > 200 mM. However, the increase in the absorption of *N*-hydroxysuccinimide (ϵ = ca. 7000 at 210 nm (shoulder)) was not detected. This means that the spectral change cannot be ascribed to the prototropic equilibrium. Conceivably, added water may affect the nature of the ion pair which is reflected in the absorption spectrum.

Unfortunately, HCO₃⁻ ion does not have an absorption maximum in the UV region suitable to study the prototropic equilibrium. We thus measured the IR spectrum of HCO₃⁻-K⁺ solubilized in acetonitrile with 10 times perhydrodibenzo-18-crown-6. The $\nu_{\text{C=O}}$ absorption band of the carboxylate group appeared at 1632 cm⁻¹ which is comparable to that of solid KHCO₃ (KBr disc, $\nu_{\text{C=O}}$ 1628 cm⁻¹). The absorption peak hardly changed even in acetonitrile containing 1000 mM of water.

It is assumed from the above experimental data that the shift of prototropic equilibria due to small quantities of added water is not extensive, as compared with dramatic rate retardations observed (see below). Although a clear, quantitative analysis of prototropy was possible only in the *p*-CNC₆H₄OH system, the similarity in the aqueous p*K*_a values^{12,13)} suggests that the prototropic equilibria for SI-O⁻ and HCO₃⁻ would not differ greatly from that for *p*-CNC₆H₄O⁻.

Estimation of Rate Constants. In the presence of excess nucleophile, the formation of *p*-nitro-*N*-methylaniline from the amide substrates gave good first-order plots for up to 70% reaction, so that the reactions are first-order in substrates. The pseudo first-order rate constants (k_{obsd}) thus determined were plotted against the concentration of nucleophile. As shown in Fig. 2, plots of k_{obsd} vs. [nucleophile(hydrogencarbonate)] were not always linear. The second-order rate constants (k_2) were calculated as $k_{\text{obsd}}/[\text{nucleophile}]$

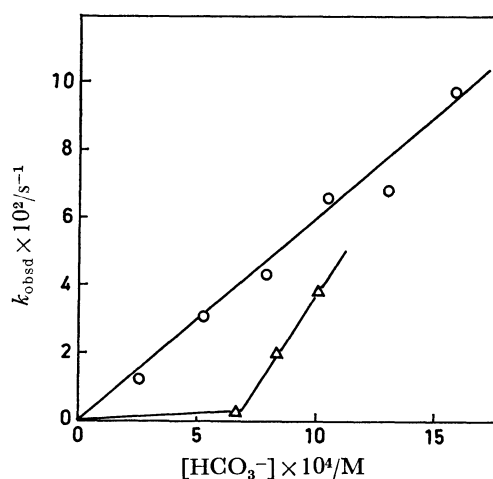


Fig. 2. Pseudo-first-order rate constants for the reaction of HCO₃⁻ and F₃-PNMA in DMF(○) and acetonitrile(△) plotted as a function of [HCO₃⁻]. [F₃-PNMA] = 8.80 × 10⁻⁵ M. Water concentrations are recorded in Table 1.

and listed in Table 1. In the case of the non-linear plots, the probable range of k_2 is given.

In accordance to close p*K*_a values in aqueous solution, the second-order rate constants for the reaction of PNPA with HCO₃⁻ and *p*-CNC₆H₄O⁻ are not much different in three solvents employed (two times difference at the largest). The much higher reactivity of SI-O⁻ toward PNPA may be attributed to the so-called α -effect. It is known that the nucleophilic attack is wholly rate-determining in water for phenyl esters with good leaving groups such as *p*-nitrophenolate.¹⁰⁾ Whether or not this holds true in aprotic solvents is not clear at the moment. However, the relative reactivity of the nucleophiles used shows approximately parallel tendencies between the aqueous and aprotic media (Table 1).

Interestingly, HCO₃⁻ acted as the most efficient catalyst toward amide substrates: for example, the reaction of HCO₃⁻ and F₃-PNMA in DMF was faster by factors of 37—45 than the corresponding reactions with *p*-CNC₆H₄O⁻ and SI-O⁻. On the other hand, the rate difference between HCO₃⁻ and *p*-CNC₆H₄O⁻ was very small in ethanol (protic solvent).

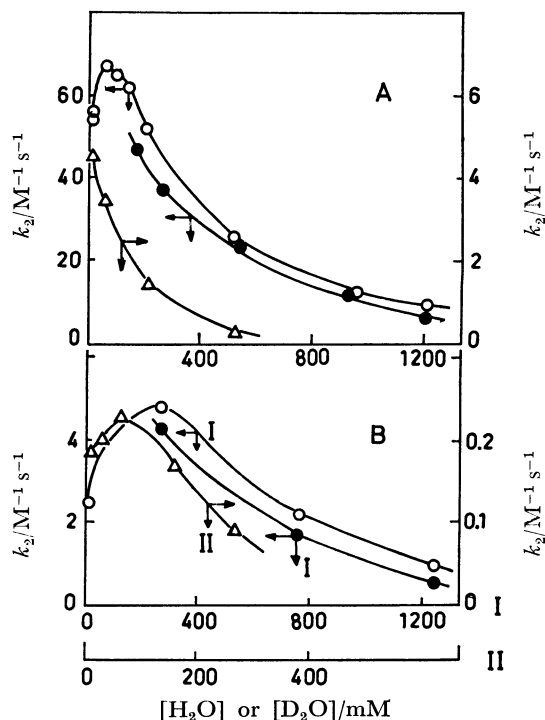


Fig. 3. Second-order rate constants for F₃-PNMA cleavage plotted as a function of [H₂O] or [D₂O]. (A) HCO₃⁻ + F₃-PNMA: ○; H₂O in DMF, ●; D₂O in DMF, △; H₂O in acetonitrile. (B) *p*-CNC₆H₄O⁻ + F₃-PNMA: symbols used stand for the same as above.

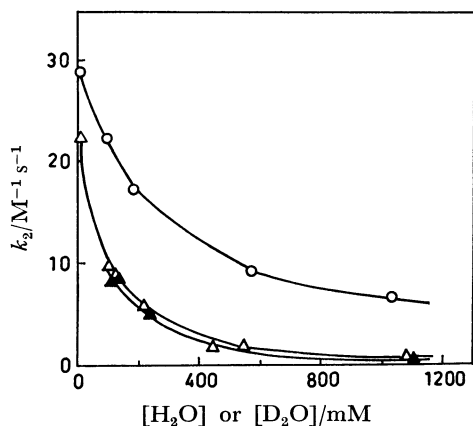


Fig. 4. Second-order rate constants for PNPA cleavage plotted as a function of [H₂O] or [D₂O]. ○: H₂O for *p*-CNC₆H₄O⁻ + PNPA in DMF, △: H₂O for HCO₃⁻ + PNPA in acetonitrile, ▲: D₂O for HCO₃⁻ + PNPA in acetonitrile.

Influence of Small Amounts of Water on Reaction Rates. The experiments described above were performed at almost constant water concentrations, which are recorded in the caption for Figures or in the footnote for Tables. Followingly, we determined the second-order rate constant as a function of water concentration in the respective reaction media. The results are illustrated in Figs. 3 and 4. It is clear that the rates are sensitive to small amounts of water. The rate constants are almost meaningless without accurate

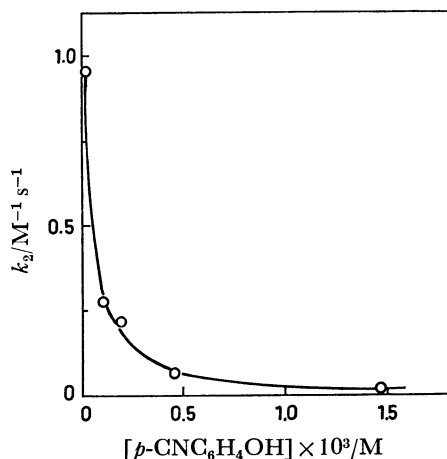


Fig. 5. Second-order rate constants for the reaction of *p*-CNC₆H₄O⁻ and F₃-PNPA in DMF ([H₂O] = 4 ± 2 mM) plotted as a function of added *p*-CNC₆H₄OH. [*p*-CNC₆H₄O⁻] = 1.61 × 10⁻³ M, [F₃-PNMA] = 2.51 × 10⁻⁵ M.

indication of the water concentration. In some of the amide cleavage (HCO₃⁻ + F₃-PNMA in DMF, *p*-CNC₆H₄O⁻ + F₃-PNMA in DMF, and *p*-CNC₆H₄O⁻ + F₃-PNMA in acetonitrile), rate maxima were observed at about 100–300 mM H₂O. On the other hand, F₃-PNMA cleavage by HCO₃⁻ in acetonitrile (Fig. 3A) and the nucleophilic reaction of HCO₃⁻ and *p*-CNC₆H₄O⁻ toward PNPA (Fig. 4) did not provide such rate maxima. Thus, the phenomenon seems to be confined to the amide cleavage, but is not limited to the catalysis by HCO₃⁻.

Water may suppress the reaction by neutralizing *p*-CNC₆H₄O⁻ ion or by reducing reactivity of *p*-CNC₆H₄O⁻ due to solvation.^{7–9} If added water produces *p*-CNC₆H₄OH which then act as general acid in the amide cleavage, a rate maximum could arise from the cooperative action of *p*-CNC₆H₄O⁻ and *p*-CNC₆H₄OH.

Figure 5 shows the second-order rate constant at the constant water concentration plotted against the concentration of added *p*-CNC₆H₄OH. The reaction rate diminished with increasing *p*-CNC₆H₄OH concentration, contrary to the expectation. *p*-CNC₆H₄OH quenches the reactivity of *p*-CNC₆H₄O⁻ probably due to the hydrogen-bonded solvation, instead of assisting the reaction as general acid. Therefore, it appears that only the intramolecular proton in the tetrahedral intermediate of HCO₃⁻ and amide substrates is capable of acting as effective proton source (see Eqs. 7 and 8).

Kinetic Isotope Effects. If the rate-determining step involves proton transfer to the tetrahedral intermediate, the reaction will be retarded by replacing H₂O with D₂O. Figures 3 and 4 indicate that at the same water concentration the rate constants in the D₂O-containing medium are smaller than those in the H₂O-containing medium: $k_{2,H_2O}/k_{2,D_2O} = 1.3 \pm 0.2$ for HCO₃⁻ + F₃-PNMA, 1.2 ± 0.1 for *p*-CNC₆H₄O⁻ + F₃-PNMA, and 1.10 ± 0.06 for HCO₃⁻ + PNPA. Since the acyl transfer reaction with PNPA is considered not to be general acid catalyzed,¹⁰ the isotope effect

As shown in Figs. 4 and 5, the isotope effect for the amide cleavage is greater than that for PNPA cleavage. The corresponding data in organic media are scarce. Breslow and McClure¹⁸⁾ gave $k_{H_2O}/k_{D_2O}=1.47-2.27$ for the general-acid catalyzed cleavage of maleamic acid in acetonitrile containing 1 M H_2O . Schowen *et al.*¹⁹⁾ also reported that, in the basic meth-

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